

ORDINANCE- II (A)

DEGREE OF DOCTOR OF PHILOSOPHY (Ph.D.)

(Amended in accordance with the University Grants Commission (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) (2nd amendment) Regulations, 2018)

(Effective from the Academic Session 2016-17)

1. Admission to Ph.D. Programme:

- 1.1** The student intake in each Department, shall be as per the availability of seats. Admission to the Ph.D. programme shall be made twice a year, preferably in the beginning of each semester.
- 1.2** All the available seats shall be notified 'category-wise' by the University, in advance.
- 1.3** Reservation of seats shall be notified in accordance with the latest guidelines, amended from time to time.

2. Eligibility:

A candidate shall be eligible for admission to the Ph.D. programme, if he/she possesses:

- 2.1** A Master's degree or a professional degree declared equivalent to the Master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC's 7-point scale (or an equivalent grade in a point scale wherever the grading system is followed), or an equivalent degree from a foreign educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorised by an authority, established or incorporated under a law in its home country, or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions.
- 2.2** A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for the candidates belonging to SC/ST/OBC (non-creamy layer)/Differently-abled and other categories of candidates as per the decision of the University Grants Commission from time to time, or for those who had obtained their Master's degree prior to 19th September, 1991. The eligibility marks of 55% (or an equivalent grade in a point scale wherever the grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible only on the basis of the qualifying marks without including the grace mark procedures.
- 2.3** The cases of candidates who have passed an examination outside the Indian Universities system and those who may not have obtained a Master's degree may be considered for admission to the programme subject to the condition that each case shall be examined on its own merit by the Admissions Committee and in accordance with the recommendations of the Equivalence Committee.
- 2.4** The candidates who have qualified the UGC/CSIR-JRF/NET/SLET, or are Teacher Fellowship-holders or have passed M. Phil. programme with course work recognised by

the U.G.C., as per the UGC Regulations (Minimum Standards and Procedure for award of M.Phil./Ph.D. Degree, 2009), shall be exempted from appearing at the written test.

3. Procedure for Admission:

3.1 Direct Admission:

3.1.1. The University shall conduct an Entrance Test, with the qualifying marks as 50% provided that a relaxation of 5 % of marks (from 50% to 45%) shall be allowed for the candidates belonging to SC/ST/OBC(Non-Creamy layers)/ Differently-abled category in the entrance examination conducted by the University.

Provided further that, if in spite of the above relaxation, the seats allotted for SC/ST/OBC(Non Creamy layer)/Differently-Abled categories remain unfilled, the University shall launch a Special Admission Drive, for that particular category within one month from the date of closure of admissions of General Category. The University will devise its own admission procedure, along with eligibility conditions to ensure that most of the seats under these categories are filled.

The syllabus for the Entrance Test shall consist of: (a). research methodology (50%) and (b). subject-specific (50%). The Entrance Test shall be conducted at the Centre(s) notified in advance by the University.

3.1.2. The admission shall be based on the performance of the qualified candidates in the interview/viva-voce to be organised by the Department concerned where the candidates shall be required to discuss their research interest/area through a presentation before a duly constituted Departmental Research Committee.

Provided that for selection of candidates, a weightage of 70% to the entrance test and 30% to the performance in the Interview/ viva-voce shall be given.

3.1.3. The candidates who have qualified UGC/CSIR-JRF/NET/SLET/GATE, or are Teacher Fellowship holders, or have passed the M. Phil. programme with course work recognised by the U.G.C., as per the UGC Regulations (Minimum Standards and Procedure for award of M.Phil./Ph.D. Degree, 2009), shall be exempted from the written test.

3.2 Integrated M.Phil.-Ph.D. Programme:

3.2.1. Admission to M.Phil./Ph.D. Integrated Programme shall be notified separately.

3.2.2. The Entry-level qualification for the M.Phil./Ph.D. Integrated Programme shall be the same as applicable as in the case of Direct admissions for the M.Phil. Programme of the University.

3.2.3. The students of the University who have successfully completed the M.Phil. Degree with CGPA of at least 5.5 shall be eligible to proceed to do research work leading to the Ph. D. Degree in an integrated programme. A relaxation of 0.5 grade points/ or equivalent, from 5.5 to 5.0, or an equivalent relaxation of grade, may be allowed for

those belonging to SC/ST/OBC (non-creamy layer)/Differently-abled and other categories of candidates as per the decision of the UGC from time to time.

3.2.4. A student of this University whose M.Phil. dissertation has been evaluated and the viva voce is pending may be admitted to the Integrated Ph.D. programme of the University provisionally subject to the fulfilment of the requirement for admission within one month of the date of provisional admission.

4. Departmental Research Committee (DRC):

In each Department of the University, academic matters related to the Ph.D. programme shall be supervised by a Departmental Research Committee consisting of the following:

- (i) Head of the Department: Chairman (ex-officio)
- (ii) All the Professors of the Department: (ex-officio)
- (iii) Associate Professors in the Department, subject to a maximum of two, by rotation according to seniority;
- (iv) Two Assistant Professors in the Department, qualified to be Research (Ph.D.) Supervisor(s), by rotation according to seniority; and
- (v) One external expert to be called by the Head of Department out of the panel drawn by the BoS.

Where a Department has a strength of less than ten teachers, all faculty members eligible to be Research (Ph.D.) Supervisors shall be members of the Departmental Research Committee. The term of the members of the Departmental Research Committee, except the ex-officio members, shall be for two years.

At least, 50% of the members shall be required to be present in the meeting to form the quorum, with the presence of the Chairman or his/her representative as mandatory.

Where no teacher in the Department is eligible to be the Head, the Teacher-in-charge, if eligible to be Research (Ph.D.) Supervisor, shall convene the meetings of the DRC, failing which the members of the DRC may elect one of the members present at the meeting as the Chairperson of the Committee for the said meeting.

5. Duration of Ph.D. Programme:

5.1 The Ph.D. programme shall be for a minimum duration of three years, including course work and a maximum of six years.

5.2 The women candidates and Persons with Disability (more than 40% disability) may be allowed a relaxation of two years for the Ph.D. in the maximum duration. In addition, the women candidates may be provided Maternity Leave/Child-Care Leave once in the entire duration of the Ph.D. programme for up to 240 days.

5.3 In case of exceptional circumstances like, but not limited to, illness/hospitalisation, etc. the Vice-Chancellor may grant extension initially for a period of six months, but not exceeding a total of one year, in addition to the maximum duration of the programme.

6. Research Supervisor:

6.1 The School Board/BOS, on the recommendation of the DRC, may appoint any regular Professor of the University with at least five research publications in refereed journals, and any regular Associate/Assistant Professor of the University with a Ph.D. degree and at least two research publications in refereed journals, as a Research Supervisor.

6.2 Only a full-time regular teacher of the University can act as a Supervisor. No external supervisor is allowed. However, a Co-Supervisor can be allowed in inter-disciplinary areas from the same/other department(s) of the same institute or from any other related institution, with the approval of the Research Advisory Committee.

6.3 The allocation of Research Supervisor for a selected research scholar shall be decided by the School Board/BOS on the recommendation of the DRC concerned, depending on the number of scholars per Research Supervisor, the available specialization among the Supervisors and research interests of the scholars as indicated by them at the time of interview/viva voce.

6.4 In the case of the topics which are of inter-disciplinary nature, where the Department concerned feels that the expertise in the Department has to be supplemented from outside, the D.R.C. may appoint a Research Supervisor from the Department itself, who shall be known as the Research Supervisor, and a Co-Supervisor from outside the Department/ School/Institution, on such terms and conditions as may be specified and agreed upon by the consenting Institutions.

6.5 A Research Supervisor/Co-supervisor who is a Professor, at any given point of time, cannot guide more than eight (8) Ph.D. scholars. An Associate Professor as Research Supervisor can guide up to a maximum of six (6) Ph.D. scholars; and an Assistant Professor as Research Supervisor can guide up to a maximum of four (4) Ph.D. scholars.

6.6 In case of relocation of a female Ph.D. scholar due to her marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate provided all the other conditions are followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/supervisor from any funding agency. The scholar shall, however, give due credit to the parent guide and the institution for the part of research already done.

6.7 However, in such cases where a teacher is retiring or leaving and where redistribution/reallocation of the Ph.D. students is required for the abovementioned or any other reason, the Ph. D. students shall be adjusted among the eligible existing teachers of the department by relaxing the norms by the Departmental Research Committee (DRC)/Board of Studies (BOS).

However, in such cases where the teacher is retiring (two years or less of service is remaining) appointment of a Co-Supervisor on the recommendations of the DRC at the time of registration may be done by the BOS/School Board concerned.

6.8 Provided further that in case a Supervisor, under whose guidance a thesis has been prepared in part or in full, ceases to be a teacher of the University, he/she may, subject to his/her availability and recommendation of the Departmental Research Committee, be allowed to continue guiding the scholar by the School Board and/or Board of Studies as Co-Supervisor of the student concerned.

7. Course Work:

7.1 There shall be a compulsory Course Work of minimum one-semester duration as a pre-requisite for the confirmation of registration of students admitted provisionally. The course work shall consist of one Course on research methodology and the remaining Course(s) shall be as prescribed by the School Board/Board of Studies/Departmental Research Committee. Every such pre-requisite course shall carry 12 credits.

7.2 No student shall be allowed to register for the Course Work after the expiry of the notified deadline.

7.3 Those who have already completed the course work in M.Phil. programme with the required CGPA score, and have been permitted to proceed to the Ph.D. programme in integrated course, may be exempted by the D.R.C. from the Ph.D. course work. In case of a direct Ph.D., the candidates who have passed M.Phil. Degree with Course Work as per the UGC Regulations (Minimum Standards and Procedure for award of M.Phil./Ph.D. Degree, 2009), shall be exempted from the requirements of Course Work. All other candidates admitted to the Ph.D. programme shall be required to complete the Ph.D. course work prescribed by the Department.

7.4 If a student has taken more number of courses than the prescribed number and has secured the grade higher than 'F' in each course, then the best grades of the required number of courses shall be taken into account for the calculation of CGPA for the purpose of deciding his/her case for confirmation to the Ph.D. programme.

7.5 Definitions:

- a. "Course" means a Semester Course.
- b. "Credit"(C) is the weightage assigned to a course in terms of contact hours.
- c. "Grade" means a letter grade assigned to a student on the basis of evaluation of a course on a ten point scale.
- d. "Grade point" (G) means the numerical equivalent of a letter grade assigned to a student in the ten point scale.
- e. "Semester Grade-Point Average" (SGPA) means the grade-point average of a student calculated in the following manner:

$$SGPA (Si) = \frac{\sum(Ci \times Gi)}{\sum Ci}$$

where C_i is the number of credits of the i^{th} course, G_i is the grade-point scored by the student in the i^{th} course and the summation is over all the courses being considered in that semester.

- f. "Cumulative Grade-Point Average" (CGPA) means a cumulative index grade-point average of a student calculated in the following manner:

$$CGPA = \frac{\sum(C_i \times S_i)}{\sum C_i}$$

where S_i is the SGPA of the i^{th} semester, C_i is the total no. of credits in that semester and the summation is over all the semesters being considered.

- g. The University shall adopt the 10-point Grading System, with the Letter Grades as given under:

Letter Grade	Grade Point (SGPA/CGPA)	Range of Grade Point (SGPA/ CGPA)	Class Interval (in %)
O (Outstanding)	10	Above 9 to 10	Above 90 and \leq 100
A+ (Excellent)	9	Above 8 to 9	Above 80 and \leq 90
A (very Good)	8	Above 7 to 8	Above 70 and \leq 80
B+ (Good)	7	Above 6 to 7	Above 60 and \leq 70
B (Above Average)	6	5.5 to 6	55 to 60
F (Fail)	0		<55
Ab (Absent)	0		Absent

Note:

- i. F= Fail, and the students graded with 'F' in a programme or course shall be required to re-appear in the examination.
- ii. The minimum qualifying marks for a course or programme shall be 55% or SGPA/CGPA of 5.5.
- iii. The students shall have to qualify the Internal Assessment and the End-Semester examinations in the aggregate.
- iv. There shall be no rounding off of SGPA/CGPA.
- v. The SGPA/CGPA obtained by a student shall be out of the maximum of 10 points.
- vi. In order to be eligible for the award of the M.Phil degree of the University, a student must obtain the CGPA of 5.5 or 55% marks in aggregate at the end of the programme.

- 7.6** The student who is otherwise eligible for the award of the degree but has secured a SGPA/CGPA of less than 5.5 or 55% marks at the end of the permissible period of semesters may be allowed by the Department concerned to repeat the same course(s)

or other courses of the same type in lieu thereof in the extra semesters provided in Clause 5 on "Duration of Programme".

7.7 A student for whom pre-requisite courses are prescribed shall be required to clear the Course Work within the first semester. The registration of such students shall be confirmed only if he/she has qualified minimum 12 credits with a minimum CGPA of the Course Work may be designed by the Department as under:

- i. One paper on Research Methodology, which may include the basic components of research techniques, tools, understanding of specific manual/style-sheet, format of article/dissertation/thesis, different types of citations, plagiarism, IPR, quantitative methods, computer applications, etc.
- ii. One subject-specific paper.
- iii. The Course Work may include instrumentation, communication skills, seminar presentation, review of published research, and other topics as specified in the prescribed manual or style sheet (MLA/APA/Chicago etc.), field work and other activities specified in the Course Work. Evaluation shall be done by the faculty members of the University Department/School concerned.

7.8 There shall be 60% weightage for the Course Work End-semester examinations and 40% weightage shall be given as internal assessment on the basis of assignments/presentations/ projects and other research-based activities during the semester.

7.9 The candidates failing to obtain a minimum CGPA of 5.5 may be allowed to continue with provisional registration for a maximum period of one more semester during which the candidate would be required to fulfil the minimum required CGPA. He/She shall be allowed to reappear in the next end-semester examination. No further chance will be given to the candidate.

7.10 The candidates are required to complete a minimum residency period of two years from the date of registration.

8. Registration and Approval of Topic:

- a. Consequent upon confirmation of his/her admission in the Board of Studies and/or School Board shall, the topic of dissertation shall be approved by the School Board/BOS on the recommendations of the Department/Centre concerned and the DRC on a proposal submitted by the student.
- b. After approval of the topic of research, the candidate shall deposit the prescribed registration fees and his/her date of registration shall be determined on the basis of the date on which he/she deposited the registration fees.
- c. No candidate shall be eligible to register for the programme/course if he/she is already registered for any full-time programme of study of this University or in any other University/Institution/Deemed to be University/College/Institution of National importance.

- d. The Board of Studies and/or School Board may cancel the registration of a student for breach of the provisions of clauses given above.

9. Requirements during Registration Period:

- a. Every research scholar shall be required to show continuous progress during the period of his/her registration and it shall be monitored by the supervisor of the candidate.
- b. Every research scholar shall be required to submit half-yearly report.
- c. The supervisor of the research scholar shall give his/her assessment about the progress of the scholar as whether satisfactory or not. The Report shall be placed before the Departmental Research Committee for its evaluation in its forthcoming meeting. In case two consecutive half-yearly reports are found unsatisfactory, Head of the Department, on the recommendations of the Departmental Research Committee (DRC) may recommend to the Board of Studies for cancellation of the registration.
- d. The research scholar shall present a seminar on his/her research at the completion of each year after his/her registration to Ph.D. programme till submission of Ph.D. thesis. This seminar will be organized by the concerned Department.
- e. Every research student shall pursue full time research as a regular student for a minimum period of two years from the date of registration.
- f. Candidates already in employment shall also have to pursue full-time research as a regular student for the minimum period of two years after registration of Research Topic.
- g. **Supernumerary seats:** To enhance the Industry-University linkage and to increase the collaboration with the Central Institutions/ Laboratories by having MOU with them, collaboration in R&D is required. For this, sometimes the Institutes/Industries want that their eligible employees/scientists are allowed to do Ph.D. In such cases, some supernumerary seats, not more than two, in each department may be created, subject to the availability of seats with the existing faculty of the Department. If there are more than two such sponsored candidates, then best two out of these would be chosen on the basis of merit of entrance test and/or interview. For such cases, however, the Director/CEO of the institute/industry is required to sponsor such candidates with requisite qualifications only. Residency period will be counted even if he/she is residing/working in his/her own institute where facilities for such research exist and the said residency period is certified by the Head of the Institution. The said Institutions/Industries may sponsor their research scholars who are working in their institute with scholarships. However, such students will be required to attend the regular one semester course work prescribed by the DRC/BOS along with the other candidates and all the rules governing the Ph.D. scholars of the University will be applicable to them.

10. Removal of the Name from the Rolls of the University:

- a. The name of a student shall be deemed to have been removed from the rolls of the University if he/she
 - i. fails to qualify any pre-requisite course within the stipulated duration
 - ii. fails to secure a CGPA of 5.5 in the course work
 - iii. fails to submit the two half-yearly reports consecutively
 - iv. fails to deposit the registration/annual/continuation fee within the stipulated time
 - v. fails to submit his/her thesis within six years from the date of admission to the Ph.D. programme. Provided further that the Vice Chancellor may, on the recommendation of the DRC/BOS grant extension to the scholar to submit the thesis for a maximum period of one year.
- b. The Board of Studies/School Board, on the recommendation of the Departmental Research Committee, may, however, accept the request of a candidate whose name has been removed from the rolls of the University to get re-admitted and become eligible for submission of his/her thesis, provided he/she submits his/her thesis within one year from the date of such re-admission or within maximum seven years of the date of his/her admission, whichever is earlier.

11. Research Advisory Committee:

There shall be a Research Advisory Committee or an equivalent body for similar purpose for each Ph.D. scholar. The Research Supervisor of the scholar shall be the Convener of this Committee. This Committee shall have the following responsibilities:

- i. To review the research proposal and finalize the topic of research;
- ii. To guide the research scholar to develop the study design and methodology of research and identify the course(s) that he/she may have to do.
- iii. To periodically review and assist in the progress of the research work of the research scholar.
- iv. A research scholar shall appear before the Research Advisory Committee once in six months to make a presentation of the progress of his/her work for evaluation and further guidance. The six monthly progress reports shall be submitted by the Research Advisory Committee to the Institution/College with a copy to the research scholar.
- v. In case the progress of the research scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the research scholar fails to implement these corrective measures, the Research Advisory Committee may recommend with specific reasons for cancellation of the registration of the research scholar.

RAC shall consist of following members:

- i. Research Supervisor: Convener
- ii. One member to be nominated by Head/TIC (Out of the panel of three names to be proposed by the Convener)
- iii. One member to be nominated by Dean of Concerned School/Dean Research (Out of the panel of three names to be proposed by the Convener)

12. Guidelines for the Submission of Thesis:

12.1 No candidate shall be permitted to submit his/her thesis for the Ph.D. degree, unless he/she has pursued research at the University for not less than three years after his/her admission to the Ph.D. programme.

12.2 The Ph.D. scholars must publish at least one research paper in a refereed journal and make two paper presentations in conferences/seminars before the submission of the dissertation/thesis for evaluation, and produce the evidence of the same in the form of a presentation certificate and/or reprint.

12.3 Pre-Thesis Submission Seminar:

- i. Prior to the submission of thesis, the student shall present a pre-thesis submission seminar in the Department that shall be open to all faculty members and research students, for getting feedback and comments, which shall be suitably incorporated into the draft thesis under the advice of the supervisor. The notice of Pre-thesis Submission Seminar shall be issued by the Head of the Department under intimation to the Vice-Chancellor.
- ii. On successful presentation of the Pre-Thesis Submission Seminar, the research scholar is required to submit the thesis within three months from the date of pre-submission seminar, failing which he/she shall have to repeat the pre-submission seminar.
- iii. The Ph.D scholar shall submit a copy of the thesis in the form of a CD/Pen drive/official email to the Library for plagiarism check and he/she shall be eligible for submission on production of satisfactory report of the plagiarism check.
- iv. A candidate shall submit his/her thesis for the Ph.D. degree in the manner prescribed as under:
 - a. The research scholar shall submit four printed/typed soft bound copies of his/her thesis along with the copies of the abstract and the summary, mentioning the name of the candidate, supervisor, etc., along with two soft copies of the thesis on separate CDs and/or Pen drives.
 - b. The typing/printing of thesis should be done on both sides of the paper (instead of the single-side printing), on A-4 size paper, in font size 12" in Times New Roman font with line-spacing 2. For other details, the research scholar shall

adhere to the style sheet/manual (APA, MLA, etc.) as prescribed by the department concerned, from time to time.

- c. The title page of Ph.D. thesis would be in the Colour prescribed by the Department/School concerned.
- d. Thesis shall be accompanied by a declaration from the candidate countersigned by the Supervisor and Head of the Department in the following format:

Declaration: This is to certify that the material embodied in the present work, entitled "-----", is based on my original research work. It has not been submitted, in part or full, for any other diploma or degree of any University/Institution Deemed to be University and College/Institution of National Importance. References from other works have been duly cited at the relevant places. (Signature of the Candidate with date) (Countersigned by Supervisor and Head of the Department with date)

13. Panel of Examiners:

- a. On the recommendation of the Supervisor, the DRC shall forward a panel of eight external examiners (at least four of the examiners in the panel shall be from outside the State/Country) from the concerned area of Research, to the Controller of Examinations on the prescribed proforma for drawing a panel of examiners. Further, the Vice-Chancellor shall have the discretion to add and/or delete any name/s recommended by the DRC.
- b. The viva-voce examination, based among other things, on the critiques given in the evaluation report, shall be conducted by the Research Supervisor and at least one of the two external examiners, and shall be open to be attended by Members of the Research Advisory Committee, all faculty members of the Department, other research scholars and other interested experts/researchers/students.

14. Evaluation of Thesis:

- a. The Ph.D. thesis submitted by a research scholar shall be evaluated by his/her Research Supervisor and at least two external examiners, who are not in employment of the University, of whom one examiner may be from outside the State/Country.
- b. The Vice-Chancellor, shall appoint two external examiners out of the panel recommended by the DRC with at least one examiner from outside the State /Country for the evaluation of the thesis.
- c. Each Examiner, after examining the thesis, shall submit a report to the Controller of Examination (COE) containing a clear recommendation whether, in his/her opinion:
 - i. The thesis as such is acceptable and the viva-voce examination of the candidate may be held; or

- ii. The thesis should be referred back to the candidate for revision and re-submission; or
 - iii. The thesis be rejected.
- d. The examiner shall not recommend that the viva-voce be held unless he/she is satisfied, i. that the thesis constitutes a contribution to knowledge characterized either by reinterpretation of known facts or development of new knowledge and/or techniques and, ii. that the methodology pursued by the candidate is sound, and, iii. that its literary presentation is satisfactory.
- e. If the COE is satisfied that the external examiners have unanimously recommended that the viva-voce examination of the candidate be held, he/she shall accordingly refer it to the Head of the Department to prepare a summary report in consultation with the supervisor(s) concerned for conduct of viva-voce.
- f. In case the COE finds that the external examiners of the thesis have not recommended unanimously that the viva-voce examination of the candidate be held, then the COE shall place the report of the examiners before the Vice Chancellor for directions.
- g. The Head of the Department/ Chairperson, DRC may, at his/her discretion, and shall, if the recommendation of one external examiner is positive and that of the second is negative, recommend to the Vice Chancellor for the appointment of a third external examiner, one not in the service of the University and/or on the Board of Studies of the Department/Centre and/or the School Board to examine the thesis and act according to the recommendation of the third external examiner.

A recommendation is not to be considered negative if a revision is recommended and this revised thesis is accepted by the examiner.

Provided further, if the thesis after revision is not accepted by the examiner, the original and the revised version of the thesis shall be sent to the third external examiner and the version approved by the third external examiner shall be considered to be final.

Important:

- (1) No thesis shall earn a degree unless there are three positive recommendations, including that of the Research Supervisor.
- (2) Where two recommendations are positive and the third asks for revision, the BOS shall ordinarily get the revision carried out and revised thesis sent to the same examiner.

15. Viva Voce:

- a. On satisfactory evaluation of the report the student shall undergo a viva voce, which shall be openly defended by the candidate.
- b. The public viva-voce of the research scholar to defend the dissertation/thesis shall be conducted only if the evaluation report(s) of the external examiner(s) on the

dissertation/thesis is/are satisfactory and includes a specific recommendation for conducting the viva-voce examination. If the evaluation report of the external examiner, in case of Ph.D. thesis, is unsatisfactory and does not recommend viva voce, the University shall send the dissertation/ thesis to another external examiner approved by the Vice Chancellor out of the approved panel of examiners and the viva voce shall be held only if the report of the latest examiner is satisfactory. If the report of the latest examiner is also negative, the dissertation/ thesis shall be rejected and the research scholar shall be declared ineligible for the award of the degree.

- c. The viva voce, based on, among other things, the critiques given in the evaluation report, shall be conducted by the Research Supervisor and at least one of the two external examiners, and shall be open to be attended by the then Members of the Research Advisory Committee, all faculty members of the Department, other research scholars and other interested experts/researchers.
- d. Where the Supervisor is unable to be present within a reasonable time to participate in the viva voce, the DRC/BOS may recommend another member of the faculty in his/her place.
- e. At the viva voce, the Viva Voce Board shall satisfy itself;
 - i. That the thesis submitted by the candidate is his/her own work, and
 - ii. That the grasp of the candidate on the field of his/her study is satisfactory.
- f. The Viva Voce Board may, on the basis of the unanimous opinion of its members, recommend:
 - i. That the candidate be awarded the Ph.D. degree;
 - ii. That the thesis is suitable/fit for publication in its present form;
 - iii. That the thesis may be published with revisions;
 - iv. That the thesis be referred back to the candidate for revision;
 - v. That the thesis be rejected and the candidate may not be awarded the Ph.D. Degree
- g. The manner in which the viva voce to be conducted shall be as prescribed.
- h. In case the Viva-Voce Board recommends that the candidate be awarded the Ph.D. degree, the Departmental Research Committee shall recommend to the Vice Chancellor that the Ph.D. degree may be awarded to the candidate.
- i. Along with the Degree, the University shall issue a certificate stating that the degree has been awarded in accordance with the UGC (Minimum Standards and Procedure for Awards of M.Phil./Ph.D. Degree) Regulation, 2016 (as amended from time to time).
- j. In case the viva-voce Board recommends that the thesis of the candidate be rejected, the Board of Studies shall direct accordingly.

- k. In case the recommendation of one member of the Viva-Voce Board is positive and of the other negative, the Departmental Research Committee shall refer the case to the Board of Studies for its consideration and recommendation, and take a decision after considering such recommendation.
- l. A candidate whose thesis has been referred back by the Viva-Voce Board for revision shall be permitted to re-submit it for the award of the degree not later than one year from the date of intimation of the decision of the University to him/her.
- m. In exceptional cases, the Academic Council may, on the recommendations of the Board of Studies/School Board, extend the period by one semester.
- n. A thesis which has been re-submitted shall normally be examined by the original examiner(s) unless any one of them is, or all of them are, unable or unwilling to act as such, in which case another examiner(s) may be appointed by the Vice Chancellor on the recommendation of Board of Studies.
- o. No candidate shall be permitted to re-submit his/her thesis for the award of the Ph.D. degree more than once.

16. Publication of Thesis:

If the examiners have recommended that the thesis is suitable/fit for publication, it may be published in the book form by the research scholar. If there is difference of opinion between the examiners regarding the publication of the thesis, the case shall be referred to the Committee consisting of the following for consideration and recommendations: i) Dean of the School- Chairman, ii) Head of the Department concerned - Member, and iii) Supervisor of the Scholar – Member.

17. Fee for Ph. D. Programme:

The research scholar shall have to pay the requisite fee prescribed by the University failing which his/her registration shall be cancelled.

The fee may be charged under the heads like—Course Work fee (for those who are required to complete Course Work); Registration Fee (at the time of registration); Annual Fee/continuation fee (Annual Fee shall be payable within 15 days of registration and annually thereafter); Annual Laboratory fee for science subjects and other subjects involving laboratory work; Evaluation fee to be charged at the time of submission of Ph.D. thesis; Late fee for delayed payment of annual fee; and any other fees as prescribed by the University.

The fees shall be subject to changes as decided by the University from time to time.

- 18.** Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree, the University shall submit an electronic copy of the Ph. D. thesis to the INFLIBNET, for hosting the same so as to make it accessible to all Institutions/Colleges.

Prior to the actual award of the degree, the University shall issue a provisional Certificate to the effect that the Degree has been awarded in accordance with the provisions of UGC Regulations, 2016.

Note: *Notwithstanding what is contained in the Ordinance, the Academic Council or the Vice Chancellor may, in exceptional circumstances and on the recommendations of the Board of Studies/School Board, as well as on the merits of each individual case, consider, at its discretion and for the reasons to be recorded in writing, relaxation of any of the provisions except those related to CGPA requirements for qualifying the course work.*
